# **CASE REPORT**



# Refractive surprise of irregular astigmatism following cataract surgery in two patients with neglected subclinical corneal ectasia: two case reports



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# Abstract

**Background** Corneal ectatic diseases are a group of corneal disorder characterized by the steepening and thinning of the cornea. Older people are not a high-risk population for corneal ectatic diseases; due to the lack of typical preoperative topographic manifestations, there is a high possibility that corneal ectasia is undetected.

**Case presentation** Two patients with subclinical corneal ectasia and senile cataracts presented with irregular astigmatism after steep-axis incision during cataract surgery. The two cases presented in this case report are rare because both patients experienced tremendous changes in astigmatism after cataract surgery.

**Conclusion** This case report may shed some light on astigmatism-correcting steep-axis incisions in cataract surgeries.

Keywords Subclinical corneal ectasia, Astigmatism, Cataract surgery, Steep-axis incisions

# Background

Corneal ectasia is a group of progressive diseases characterized by corneal steepening and thinning. Degradation can occur automatically or can be surgically induced. Corneal ectasia usually appears in adolescent patients and gradually progresses until approximately 40 years of age. However, it is possible for corneal ectasia to progress slightly after the age of 40 years—the progression is slower in steeper corneas [1]. Subclinical corneal ectasia

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lacks topometric or tomographic manifestations, and densitometry and biomechanical indices should be combined for early detection [2]. This case report outlines two patients with subclinical corneal ectasia with irregular astigmatism that increased after cataract surgery; it offers experience for correcting astigmatism in cataract surgeries.

# **Case Presentation**

# Case 1

A 73-year-old female was admitted to our hospital for cataract surgery. On admission, both lenses were clouded, with a visual acuity of 20/60 in the right eye and 20/130 in the left eye. Preoperative keratometry and biometry data were collected using IOLMaster (IOL-Master700, Carl Zeiss Meditec AG, Jena, Germany) and Pentacam (Oculus, Wetzlar, Germany). Readings of the



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right eye from the IOLMaster were K1=43.37 diopters (D), K2=45.00D, axial length (AL)=23.90 mm, and anterior chamber depth (ACD)=2.50 mm. The corresponding figures in her left eye were K1=43.25D, K2=44.12D, AL=23.84 mm, ACD=2.34 mm. Pentacam showed a skewed radial axis and paracentral steepening on the sagittal topographic map in both eyes (Fig. 1A-B). The simulated keratometry (Sim K) readings presented by Pentacam were K1=43.6D, K2=44.8D in the right eye and K1=43.2D, K2=43.9D in the left eye. Sim K astigmatism (Astig.) was 1.2D, total corneal irregular astigmatism (WFA HO RMS) (4 mm zone) was 0.211 µm, the posterior-anterior corneal radius ratio (B/F ratio) was 82.2%, and central corneal thickness (CCT) was 506 µm. The parameters of the left eye were Sim K K1=43.2D, K2=43.9D, Astig.=0.5D, WFA HO RMS=0.109 μm, B/F ratio=82.4%, and CCT=508  $\mu$ m (Fig. 1C-D). Since the incidence of dry eye in patients with senile cataract are higher than the general population, and the presence of dry eye disease may lead to inaccuracy in measured astigmatism, the patient was screened for dry eye disease [3, 4]. The patient had negative corneal fluorescein staining and intact corneal epithelia. As the patient presented with against-the-rule astigmatism (i.e., horizontal meridian steeper), an uneventful cataract surgery was performed with two symmetric incisions on the steep axis to correct the astigmatism (Fig. 2A-B).

The patient's visual acuity was not significantly improved in either eye after surgery; it was 20/40 in the right eye and 20/170 in the left eye on the first postoperative day. Slit lamp examination showed no signs of corneal edema or a dislocated intraocular lens, and no significant abnormalities were observed on fundus examination. One month and 3 months after surgery, her visual acuity remained unimproved. Postoperative examination at one month post-surgery using Pentacam showed an increase in astigmatism: K1=43.0D, K2=45.3D, Astig.=2.3D in the right eye; K1=40.8D, K2=45.4D, Astig.=4.6D in the left eye (Fig. 3A-B). At the 3-month follow-up, her parameters on Pentacam were K1=43.1D, K2=45.1D, Astig.=2.0D in the right eye; K1=41.6D, K2=45.2D, Astig.=3.6D in the left eye (Fig. 3C-D).

A review of the patient's preoperative topography data showed significant abnormalities on the Belin–Ambrósio enhanced ectasia display (BAD), demonstrating an elevation from the best-fit sphere (BFS) in both the anterior



Fig. 1 Right and left Pentacam topographic patterns from Case 1. A: The sagittal topographic map in the right eye; B: The sagittal topographic map in the left eye; C: The corneal thickness map in the right eye; D: The corneal thickness map in the left eye



Fig. 2 Right and left postoperative anterior segment photography from Case 1. A: Postoperative anterior segment photography of the right eye; B: Postoperative anterior segment photography of the left eye



Fig. 3 Right and left postoperative Pentacam sagittal curvature maps from Case 1. A: The right sagittal curvature map one month after the surgery; B: The left sagittal curvature map one month after the surgery; C: The right sagittal curvature map 3 months after the surgery; D: The left sagittal curvature map 3 months after the surgery; D: The

and posterior corneal surfaces. Df (deviation of normality of the front elevation) was 3.43, Db (deviation of normality of the back elevation) was 1.78, and the final D index was 2.40 in the right eye; in the left eye, the Df=4.17, Db=2.99, and D=2.69 (Fig. 4A, D). Moreover, topometric indices showed symmetrical aberrations in the patient's cornea. The index of surface variance (ISV) was 41, index of vertical asymmetry (IVA) was 0.24, keratoconus index (KI) was 1.10, and topographical keratoconus classification (TKC) was KC1 in the right eye. The indices in the left eye were ISV=38, IVA=0.34, KI=1.10, and TKC=KC1.

At 1 month postoperatively, the BAD parameters were as follows: Df=0.85, Db=4.23, D=4.16 in the right eye; Df=0.54, Db=3.56, D=4.80 in the left eye (Fig. 4B, E). The corresponding figures at 3 months postoperatively were Df=1.20, Db=1.74, D=2.44 in the right eye; Df= 1.46, Db=9.13, D=3.69 in the left eye (Fig. 4C, F). The BAD indices of the patient showed that both her anterior corneal surfaces returned to normal, while her posterior



Fig. 4 Right and left elevation maps on the BAD from Case 1. A: Preoperative elevation map in the right eye; B: The right elevation map one month after the surgery; C: The right elevation map 3 months after the surgery; D: Preoperative elevation map in the left eye; E: The left elevation map one month after the surgery; F: The left elevation map 3 months after the surgery

corneal surfaces were elevated compared to the BFS 3 months after the surgery. The indices on the topometric display at the 1 month follow-up were as follows: ISV=42, IVA=0.34, KI=1.12, TKC=KC1 in the right eye; ISV=56, IVA=0.52, KI=1.12, TKC=KC1-2 in the left eye. Three months postoperatively, the indices were as follows: ISV=42, IVA=0.37, KI=1.09, TKC=KC1 in the right eye; ISV=40, IVA=0.36, KI=1.05, and TKC was normal in the left eye. The patient did not undergo further follow-up examinations.

## Case 2

A 73-year-old female presented to our clinic with a history of cataract surgery. Preoperative examination revealed clouded lenses in both eyes, and her visual acuity was 20/70 in the right eye and 20/50 in the left eye. The patient's keratometry and biometry readings on the IOLMaster were: right eye—K1=43.95D, K2=45.57D, AL=23.47 mm, ACD=3.12 mm; left eye—K1=43.77D, K2=46.18D, AL=23.25 mm, ACD=3.05 mm. A topographic examination was performed using Pentacam, and the sagittal topographic maps of her right and left eye also revealed a crab claw pattern (Fig. 5A-B). Her keratometry data on Pentacam were as follows: right eye—K1=43.9D, K2=45.5D, Astig.=1.9D, WFA HO RMS=0.248 µm, B/F ratio=79.8%, CCT=539 μm; left eye: K1=43.9D, K2=45.8D, Astig.=1.9D, WFA HO RMS=0.248 µm, B/F ratio=80.0%, CCT=529 µm (Fig. 5C-D). Her corneal fluorescein staining results were negative and her corneal epithelia were intact. Two symmetric incisions on the steep axis in phacoemulsification were performed smoothly (Fig. 6A-B).

On postoperative day 1, visual acuity was 20/25 in the right eye and 20/50 in the left eye. One month later, her best-corrected visual acuity (BCVA) in the right eye was 20/25 with -0.75  $-2.00 \times 130$ , and 20/40 with +1.25  $-4.00 \times 110$  in the left eye. Anterior segment photography showed that Grade 1 nasal pterygium was present in both eyes of this patient (Fig. 6). Pterygium was found to be positively correlated with corneal astigmatism, but pterygium does not tend to induce astigmatism until it

reaches a certain size [5, 6]. Avisar et al. [7] reported that lesions exceeding 16% of the corneal radius or 1.0 mm in size induce significant astigmatism. Since the nasal pterygium in the patient was small in size, extending approximately 14% and 4% of the corneal radius in the right and left eye, the influence of nasal pterygium on corneal astigmatism in this case was considered limited.

Her keratometry data on Pentacam 1 month after the surgery were as follows: right eye—K1=44.2D, K2=46.4D, Astig.=2.2D; left eye—K1=43.8D, K2=47.0D, Astig.=3.2D (Fig. 7A-B). Three months after the surgery, her BCVA was 20/25 with  $-0.25-0.25\times80$  in the right eye, and 20/28 with  $+1.00 - 3.50\times108$  in the left eye. Keratometry: right eye—K1=44.4D, K2=45.4D, Astig.=1.0D; left eye: K1=43.6D, K2=47.0D, Astig.=3.3D (Fig. 7C-D). No corneal edema or dislocated IOL was observed on follow-up slit-lamp examinations, and no abnormality was found on fundus examination.

Abnormalities in BAD and topometric indices were neglected in the preoperative Pentacam examination. Her BAD indices were: right eye—Df=-0.08, Db=4.05, D=2.73; left eye—Df=0.99, Db=4.59, D=3.01 (Fig. 8A, D). The abnormal topometric parameters were as follows: right eye— ISV=25; IVA=0.23; KI=1.06; left eye—ISV=25, IVA=0.17, KI=1.06; TKC was normal in both eyes.

After surgery, the BAD revealed that irregularities in the patient's posterior corneal surfaces remained. At 1 month postoperatively, the BAD parameters were as follows: right eye—Df=0.36, Db=3.21, D=2.43; left eye—Df=-0.94, Db=4.24, D=3.21 (Fig. 8B, E). The BAD indices at 3 months postoperatively were as follows: right eye: Df=-0.37, Db=2.70, D=2.35; left eye: Df=-0.22, Db=3.99, D=3.35 (Fig. 8C, F). The indices on the topometric display showed slight fluctuations in corneal symmetry. One month after surgery, the topometric indices were as follows: right eye—ISV=32; IVA=0.32; KI=1.09; TKC=KC1; left eye—ISV=28, IVA=0.20, KI=1.00, TKC was normal. Three months later, the corresponding parameters were as follows: right eye— ISV=25, IVA=0.24, KI=1.07, and TKC was normal; left



Fig. 5 Right and left Pentacam topographic patterns from Case 2. A: The sagittal topographic map in the right eye; B: The sagittal topographic map in the left eye; C: The corneal thickness map in the right eye; D: The corneal thickness map in the left eye



Fig. 6 Right and left postoperative anterior segment photography from Case 2. A: Postoperative anterior segment photography of the right eye; B: Postoperative anterior segment photography of the left eye

eye—ISV=29, IVA=0.25, KI=1.05, and TKC was normal. The patient wore glasses to correct her visual acuity postoperatively.

# **Discussion and conclusions**

The two cases were similar in preoperative topography, ectasia indices, and symmetry indices, which were neglected before surgery.

First, both patients presented with a skewed radial axis and interior steepening preoperatively, resulting

in the appearance of a crab claw pattern on the sagittal topographic map. Trattler [8] discovered that in patients with astigmatism and a crab claw pattern, limbal relaxing incisions (LRIs) or astigmatic keratotomy (AK) on the steep axis may lead to an increase in irregular astigmatism postoperatively. The crab claw pattern, also known as butterfly or pellucid pattern, demonstrates flattening of the cornea along the vertical axis and steepening of the inferior corneal periphery [9]. The crab claw pattern is a classic pattern of pellucid marginal corneal degeneration



Fig. 7 Right and left postoperative Pentacam sagittal curvature maps from Case 2. A: The right sagittal curvature map one month after the surgery; B: The left sagittal curvature map one month after the surgery; C: The right sagittal curvature map 3 months after the surgery; D: The left sagittal curvature map 3 months after the surgery; D: The



Fig. 8 Right and left elevation maps on the BAD from Case 2. A: Preoperative elevation map in the right eye; B: The right elevation map one month after the surgery; C: The right elevation map 3 months after the surgery; D: Preoperative elevation map in the left eye; E: The left elevation map one month after the surgery; F: The left elevation map 3 months after the surgery

(PMD), but can also be seen in patients with other types of corneal ectasia. Lee et al. [10] and Koc et al. [11] found that patients with crab claw patterns were more likely to develop keratoconus than those with PMD. Research has also shown that crab claw patterns also appear on the sagittal power maps of patients with Mooren ulcer and that patients with a crab claw pattern have greater astigmatism than those with other patterns [12]. Thus, a crab claw pattern on topography suggests that the patient might have a certain ectatic corneal disease [13]. For patients with a crab claw pattern, more examinations should be performed for differential diagnosis, such as a full 12 mm corneal thickness map, Scheimpflug images, and tomographic and densitometric evaluations [11].

There are currently no diagnostic metrics for corneal ectasia, but guidelines from the American Academy of Ophthalmology (AAO) suggest that there is usually a steepening in the central or central peripheral cornea on patients' topography [14]. Patients with corneal ectasia share common topographical features. An asymmetric

island pattern is often seen on elevation maps, and thickness maps reveal that the thinnest point of the ectatic cornea is usually located peripherally [15, 16]. With these features present in both cases, it is possible that the two patients reported above had subclinical ectatic corneal diseases.

In patients with subclinical degradation or susceptibility to biomechanical changes, there is a possibility of postoperative corneal ectasia and astigmatism increase, even without significant irregularities on the corneal surface. For patients with higher levels of ectasia susceptibility, any biomechanical disturbance, such as eye rubbing or incisions, might lead to serious corneal irregularities [17]. However, subclinical degradation and ectasia susceptibility are easily overlooked, and patients with high susceptibility to corneal ectasia may not be detected until after cataract surgery. Preoperative geometric data should be thoroughly examined and integrated with intraoperative parameters to screen for subclinical corneal ectasia [18]. Therefore, for patients without abnormalities on the pre-cataract display, more attention should be paid to other displays such as BAD and topometric display [19]. In addition to the crab claw pattern on sagittal power maps, both cases also presented aberrations in the BAD and topometric indices. The BAD combines enhanced elevation data and pachymetry data, and detects mild corneal ectasia by comparing the patient's cornea with a standard reference shape [20]. The indices of BAD demonstrate the deviation of the cornea from the reference value. For instance, Df and Db show the deviations in the front and back elevations, respectively, and the final D is the total deviation calculated by regression analysis, suggesting the probability of corneal ectatic diseases [20]. Muftuoglu et al. [21] reported that the D index has an outstanding value in forecasting keratoconus and can be used for the differential diagnosis of clinical and subclinical keratoconus, with 100% sensitivity and specificity. However, the D index has a limited value in discriminating subclinical keratoconus from normal corneas, with a sensitivity of only 60%. It has been reported that in patients with corneal ectasia after LASIK, despite low preoperative risk, BAD can detect irregularities [22]. In general, as parameters demonstrating corneal stability and suggesting a patient's susceptibility to corneal ectasia, BAD indices should be considered before corneal incision surgery.

The topometric display of Pentacam demonstrates symmetry of the anterior corneal surfaces [18]. Among all topometric indices, ISV reveals irregularity of the corneal surface, and this index may increase in patients with astigmatism, corneal scarring, or other factors causing corneal irregularity. IVA shows symmetry of corneal curvature, and an abnormal axis of astigmatism and corneal ectasia could both lead to an increase in IVA. KI is the ratio of the radius values in the superior and inferior corneal segments, and it increases significantly in patients with clinical keratoconus or PMD [23]. Lastly, TKC is a rating based on the topometric indices used in the diagnosis of keratoconus. Both BAD and the topometric display are able to detect mild irregularities of the cornea and might suggest the possibility of postoperative astigmatism or corneal ectasia after cataract surgery [24].

Both patients had against-the-rule astigmatism, and their incisions were placed horizontally. Postoperative corneal irregularities in patients indicate that the horizontal axis of the cornea may have a greater role in the maintenance of overall biomechanical stability, and the incision in cataract surgery is likely to interfere with stability. Wallace et al. [25] discovered that cataract surgery might lead to a reduction in corneal stiffness and faster intrinsic deformation. Although no association was found between the size of the incision and the degree of stiffness change, Chang et al. [26] found that a smaller incision helps improve astigmatism control after cataract surgery. Furthermore, the location of the incision also influences surgically induced astigmatism (SIA) in cataract surgery: temporal incisions result in the lowest SIA in patients with mild preoperative astigmatism, while superior incisions have better outcomes in patients with higher levels of preoperative astigmatism [27]. Between the two types of horizontal incisions, nasal incisions lead to a much higher SIA than temporal incisions [28]. Even with the same incision, the choice of suture in cataract surgery has an impact on the SIA level. Eslami et al. [29] reported that using X-pattern sutures in scleral tunnel incisions provides better control of the SIA in patients with mild corneal steepening. These findings suggest that the horizontal axis of the cornea might play a significant role in maintaining corneal shape and that horizontal incisions and sutures are likely to cause higher levels of astigmatism after cataract surgery.

Due to the limitations of astigmatism-correcting steepaxis incisions, other options for correction of corneal astigmatism should be taken into account. There are multiple procedures to correct astigmatism in patients with cataract, including toric intraocular lenses (IOLs) [30], keratotomy [31, 32], limbal relaxing incisions (LRI) [33], excimer laser in situ keratomileusis [34], etc. Like relaxing incisions from the steep axis, toric IOLs have been widely applied in the correction of astigmatism, especially moderate to severe astigmatism, in cataract surgeries [35]. Toric IOL implantation is popular for its effectiveness, predictability and better astigmatism control [36]. However, compared to relaxing incisions, complications like macular edema or retinal detachment may arise after toric IOL implantation [30]. Toric IOLs are likely to rotate postoperatively, and large rotations may affect the IOL power, where reposition of the IOL may

be needed [37, 38]. In addition, since toric IOL implantation is an expensive procedure compared to relaxing incisions, affordability should also be taken into consideration when deciding the optimal treatment plan for patients.

In conclusion, the two patients presented in this case report indicate that subclinical corneal ectatic diseases might occur in senile patients. The corneas of these patients are biomechanically unstable, and using a steepaxis incision to correct astigmatism might interfere with mechanical stability, causing corneal deformation and extreme curvature change, leading to high levels of postoperative astigmatism. Therefore, in cases with plans to perform a steep-axis incision in cataract surgery, more attention should be paid to symmetry indices and ectatic disease screening indices in topography to wisely choose applicable cases and incision locations.

#### Acknowledgements

Not applicable.

#### Authors' contributions

Leran Chen drafted the manuscript, Xiaoyong Chen and Fanshu Li collected patient information, Ziyuan Liu critically revised the manuscript for intellectual content and supervised the project. All authors reviewed the manuscript.

#### Funding

Not applicable.

#### **Data Availability**

All data generated or analyzed during this study are included in this published article.

#### Declarations

#### Competing interests

The authors declare that they have no competing interests.

#### Ethics approval and consent to participate

The study was approved by the review board of the Peking University Third Hospital.

#### Consent for publication

Written informed consent was obtained from both patients.

Received: 27 November 2022 / Accepted: 22 May 2023 Published online: 29 May 2023

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